



Complaint and Appeal Policy

Purpose:

Staff and students have the right to submit a complaint if they wish to express discontent against another person or a complaint against the RTOs process or system.

In order to ensure that complaints are dealt with in a timely manner, we have implemented a complaints process.

Scope:

This policy and procedure are relevant to all grievances arising in the following areas:

- a) Student wishes to raise a complaint against another student within 30 calendar days of the incident
- b) Student wishes to raise a complaint against the RTO within 30 calendar days of the incident
- c) Student wishes to raise a complaint about a Third Party within 30 calendar days of the incident
- d) RTO staff wishes to raise a complaint about a Third Party within 30 calendar days of the incident
- e) Staff wishes to raise a complaint about another staff member or a student Complaints Process If a student, trainer or staff member is experiencing any difficulties, they are encouraged to discuss their concerns with Senior Management.

Procedure

- RTO administrative staff will make themselves available at a mutually convenient time if a student wishes to seek assistance. If a Student or Staff member wishes to make a formal complaint, they are required to complete a Complaints and Appeals Form, which is included in the Student and Trainers Handbook.
- Once the form has been completed, the form should be submitted to the RTO manager for action. If required, the student has the right to have a third party/support person assist them through the Complaints Process, this may be due to language barriers or simply at the student's request.

Complaints Management

1. A formal complaint is received from the complainant to the RTO
2. If not already submitted with the complaint, a Complaints and Appeals Form is completed and submitted to the RTO Manager
3. A written acknowledgment of receipt of the Complaints and/or Appeal will be forwarded to the complainant following receipt by the RTO Manager within 5 business days



4. The Complaint is discussed with ALL parties involved in the grievance, and ALL parties are notified in writing of the complaint, which will ensure the requirements of natural justice and procedural fairness are met

5. Grievances must be kept confidential, in order to protect the complainants

6. All Complaints and Appeals Forms are to be reviewed at the monthly Quality and Compliance Meetings.

7. The RTO Manager is to follow the process on the Complaints and Appeals Form for the process under “Recommended Action Required for Improvement”.

a. An initial meeting is to be held within 10 business days.

b. If further investigation is required, this should be completed within 60 calendar days

8. Each appellant: a. Has an opportunity to formally present his or her case b. Is given a written statement of the complaint outcomes, including reasons for the decision.

9. If the Complainant wishes to appeal the Complaint outcome, the student can bring the complaint before senior management for resolution, within 20 working days of the decision made

10. If Senior Management is party to the grievance, they will not take part in any discussions or decisions made and the appeal will be referred to the CEO.

11. If a solution has not been reached to the benefit of all parties the complainant has the right to request a review by an independent party, who is not part of the RTO

12. The RTO is responsible for acting upon the subject of any complaint found to be substantiated.

13. Complaints and Appeals Forms received are to be entered into the Complaints and Appeals Register

14. If the RTO determines that the complaint process cannot be finalised within 60 calendar days, the RTO Manager will:

a. Confirm this in writing to the complainant, including reasons why more than 60 calendar days is required

b. Will regularly update the complainant or appellant on the progress of the matter Complaints and Appeals Forms are to be actioned by the appropriate staff member and filed into the Complaints and Appeals Register and a scanned copy saved onto the student file in the database.

All Complaints and Appeals Forms are to be reviewed during the monthly Quality and Compliance Meetings and improvements are to be identified and implemented according to the Policies and Procedures of the RTO.

Should you disagree with the result of the appeal by the RTO, you can lodge a complaint to: •

National Training Complaints Hotline (<https://www.education.gov.au/NTCH>) Phone: 13 38 73, Monday–Friday, 8am to 6pm nationally. Email Complaints: <https://www.education.gov.au/email-complaints>

• NSW – Office of Fair Trading (<http://www.fairtrading.nsw.gov.au>).

• QLD – Fair Trading Queensland (<https://www.qld.gov.au/law/fair-trading/>) • SA – Consumer and Business Services SA (<http://www.cbs.sa.gov.au>) • TAS – Consumer Affairs and Fair Trading



(<http://www.consumer.tas.gov.au/>) • VIC – Consumer Affairs Victoria
(<https://www.consumer.vic.gov.au/businesses/fair-trading>) • WA – Consumer Protection Western
Australia (<https://www.commerce.wa.gov.au/consumer-protection>)

• NT – Consumer Affairs Northern Territory
(<http://www.consumeraffairs.nt.gov.au/Pages/default.aspx>) There is no cost involved with lodging a
complaint with Australian College of Excellence

Related documents



Complaints and Appeals Form OCT2:



Complaints Report Form OCT16-1.pdf

Document Control

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The person responsible for the implementation	All staff members
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